THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

JUN 1 4 2004

Thomas Gruber

Appl. No.:

10/772,647

Conf. No.:

5813

Filed:

February 4, 2004

Title:

PHARMACEUTICAL FORMULATION CONTAINING DYE

Art Unit:

1615

Examiner:

Unknown

Docket No.: 111458-004

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, be made during the course of examination of the above-identified application for United States patent. Pursuant to the Official Gazette Notice dated August 5, 2003, copies of the cited U.S. patents and patent applications are not included as this application was filed after June 30, 2003. However, copies of all other cited references are included with this form.

## **U.S. PATENT DOCUMENTS**

Document No.	<u>Date</u>	<u>Inventor</u>
3,980,766	September 14, 1976	Shaw et al.
4,769,372	September 6, 1988	Kreek
4,785,000	November 15, 1988	Kreek et al.
5,266,331	November 30, 1993	Oshlack et al.
5,472,943	December 5, 1995	Crain et al.
5,508,042	April 16, 1996	Oshlack et al.
5,549,912	August 27, 1996	Oshlack et al.
5,656,295	August 12, 1997	Oshlack et al.
6,228,863	May 8, 2001	Palermo et al.
6,245,357	June 12, 2001	Edgren et al.

## **FOREIGN PATENT DOCUMENTS**

Document No.

<u>Date</u>

Country

WO 99/32119

July 1, 1999

**PCT** 

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

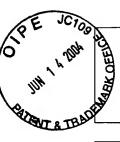
BY

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Chicago, Illinois 60690-1135

Phone: (312) 807-4284

Dated: June 8, 2004



## INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

PTO Form 1449

Atty Docket No.	Application No.	
111458	10/772,647	
Applicant		
Thomas Gruber		
Filing Date	Group	
February 4, 2004	Group 1615	

		U.S. PATENT	DOCUMENTS			_
Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	3,980,766	September 14, 1976	Shaw et al.			
	4,769,372	September 6, 1988	Kreek			
	4,785,000	November 15, 1988	Kreek et al.			
	5,266,331	November 30, 1993	Oshlack et al.			
	5,472,943	December 5, 1995	Crain et al.			
	5,508,042	April 16, 1996	Oshlack et al.		·.	·
	5,549,912	August 27, 1996	Oshlack et al.			
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	6,228,863	May 8, 2001	Palermo et al.			
	6,245,357	June 12, 2001	Edgren et al.			

FOREIGN PATENT DOCUMENTS							
Examiner's	Document	Publication				Trans	lation
Initials	Number	Date	Country	Class	Subclass	Yes	No
	WO 99/32119	July 1, 1999	PCT				i

Examiner's OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Parlinitials		
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Examiner:	Date Considered:

\*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

₹				STW	
TRANS		F INFORMATION DISCLOS Inder 37 CFR 1.97(b) or 1.97(	111	Docket No. 111458-004	
In Re App	lication Of: 1	Chomas Gruber JUN 1 4 2004			
	erial No. 772,647	Filing Bate February 4, 2014 FM	Examiner Unknown	Group Art Unit 1615	
Title: PI	HARMACEUTIO	CAL FORMULATION CONTAIN	ING DYE		
			_		
		Commission P.O.	ress to: oner for Patents Box 1450 , VA 22313-1450		
		37 CF	FR 1.97(b)		
o tl	of a national app hree months of application; befo	Disclosure Statement submitted had plication other than a continued puthe date of entry of the national streethe mailing of a first Office Actifiling of a request for continued example.	prosecution application unde stage as set forth in 37 CFR on on the merits, or before the	er 37 CFR 1.53(d); within 1.1.491 in an international the mailing of a first Office	
		37 CF	FR 1.97(c)		
C	2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:				
	☐ the statem	nent specified in 37 CFR 1.97(e);			
		OR ·			
,	the fee se	t forth in 37 CFR 1.17(p).			
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 111458-004			
In Re Application: Thomas Gruber  JUN 1 4 2004						
Serial No.	Filing Date	Examiner	Group Art Unit			
10/772,647	February 4, 200 EMARK	Unknown	1615			
PHARMACEUTIO	CAL FORMULATION CONTAIN	NING DYE				
	•	nent of Fee ects to pay the fee set forth in 37 CFR 1.	17(p))			
Credit any Charge and Certificate of T	re amount of y overpayment.  In additional fee required.  I ransmission by Facsimile*  Tent and authorization to charge deposite the mile transmitted to the United States of Office (F:	as first class mail under 37 addressed to the Commissioner 1450, Alexandria, VA 22313-14	fee is being deposited he U.S. Postal Service C.F.R. 1.8 and is for Patents, P.O. Box 50.			
Signature Signature of Person Mailing Correspondence Renee Street			lling Correspondence			
Typed or Printed Name of Person Signing Certificate  Typed or Printed Name of Person Mailing Certificate						
deposit account.		Dated: June 8, 2004				